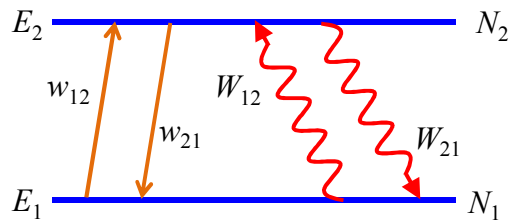


RATE EQUATIONS

1

1

Two-Level System



$$\frac{dN_1(t)}{dt} = -[W_{12} + w_{12}]N_1(t) + [W_{21} + w_{21}]N_2(t)$$

$$\frac{dN_2(t)}{dt} = [W_{12} + w_{12}]N_1(t) - [W_{21} + w_{21}]N_2(t)$$

$$N_1(t) + N_2(t) = N, \quad N_1(t) - N_2(t) = \Delta N$$

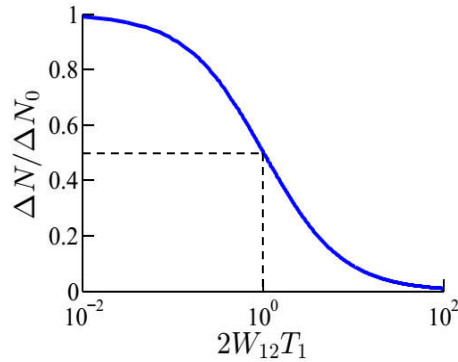
$$N_1(t) = \frac{N + \Delta N}{2}, \quad N_2(t) = \frac{N - \Delta N}{2}$$

2

2

Saturable Absorption, Gain

$$\alpha_m = \alpha_{m0} \frac{1}{1 + I / I_{\text{sat}}}$$



- When a laser oscillator begins to oscillate, the oscillation amplitude grows at first until the intensity inside the cavity is sufficient to saturate down the laser gain.
- Steady-state oscillation then occurs when the saturated laser gain becomes just equal to the total cavity losses, so that the net round-trip gain is exactly unity.

3

3

Time Dependence

- Suppose we switch-on the light at $t = 0$. Let's see the time dependence of the population difference.

$$\frac{d\Delta N}{dt} = -2W_{12}\Delta N - \frac{\Delta N - \Delta N_0}{T_1} = 0 \Rightarrow \frac{d\Delta N}{dt} = -\left[2W_{12} + \frac{1}{T_1}\right]\Delta N + \frac{\Delta N_0}{T_1}$$

$$\Delta N = Ae^{-\lambda t} + B \Rightarrow \frac{d\Delta N}{dt} = -\lambda Ae^{-\lambda t} = -\lambda(\Delta N - B) = -\lambda\Delta N + \lambda B$$

$$\lambda = 2W_{12} + \frac{1}{T_1}, \quad B\left(2W_{12} + \frac{1}{T_1}\right) = \frac{\Delta N_0}{T_1} \Rightarrow B = \frac{\Delta N_0}{2W_{12}T_1 + 1}$$

$$\Delta N(0) = A + B \Rightarrow A = \Delta N(0) - B$$

$$\Delta N = \left(\Delta N(0) - \frac{\Delta N_0}{2W_{12}T_1 + 1}\right)e^{-\left(2W_{12} + \frac{1}{T_1}\right)t} + \frac{\Delta N_0}{2W_{12}T_1 + 1}$$

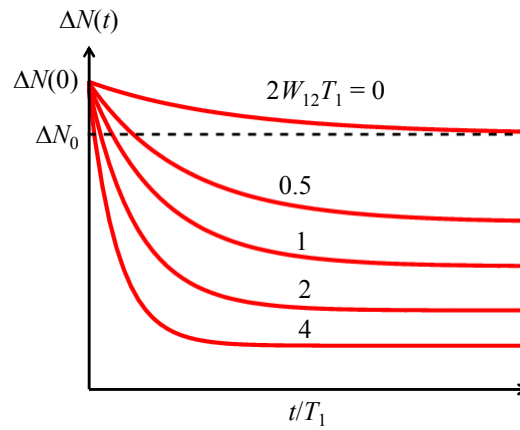
$$= \Delta N_{\text{ss}} + [\Delta N(0) - \Delta N_{\text{ss}}]e^{-\left(2W_{12} + \frac{1}{T_1}\right)t}$$

4

4

Transient

$$\Delta N = \Delta N_{ss} + [\Delta N(0) - \Delta N_{ss}]e^{-(2W_{12} + \frac{1}{T_1})t}$$
$$\Delta N_{ss} = \Delta N_0 \frac{1}{1 + 2W_{12}T_1}$$

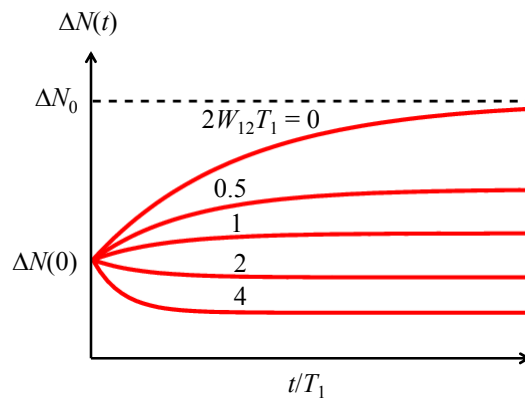


5

5

Transient

$$\Delta N = \Delta N_{ss} + [\Delta N(0) - \Delta N_{ss}]e^{-(2W_{12} + \frac{1}{T_1})t}$$
$$\Delta N_{ss} = \Delta N_0 \frac{1}{1 + 2W_{12}T_1}$$



6

6

Degeneracy

$$g_1 W_{12} = g_2 W_{21}$$

$$\frac{W_{12}}{W_{21}} = \frac{g_2}{g_1} e^{-(E_2 - E_1)/kT}$$

$$\Delta N(t) = \frac{g_2}{g_1} N_1(t) - N_2(t)$$

$$\Delta N_0 = \frac{g_2}{g_1} N_{10} - N_{20} = N \frac{1 - e^{-\hbar\omega_a/kT}}{1 + \frac{g_1}{g_2} e^{-\hbar\omega_a/kT}}$$

7

7

Rate Equation Approximation

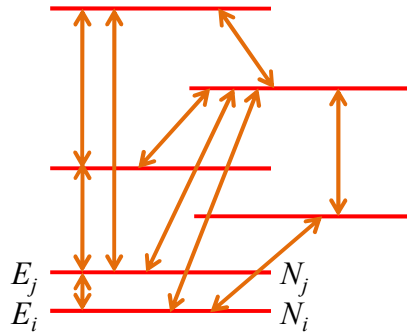
Note:

- Polarization decay is not considered.
- Photon equation is not considered.

8

8

Multilevel Rate Equations

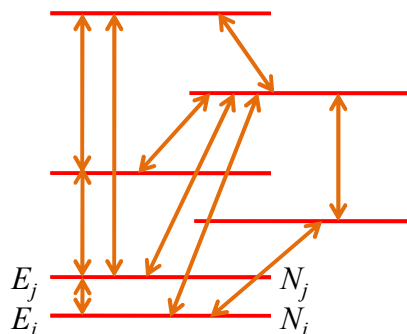


$$\frac{dN_i}{dt} = \sum_{j \neq i} (-W_{ij}N_i + W_{ji}N_j) + \sum_{j \neq i} (-w_{ij}N_i + w_{ji}N_j)$$

9

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Conservation



- If the total number of atoms in all the energy levels is constant:

$$\sum_{i=1}^M N_i = N_1 + N_2 + \dots + N_M = N$$

- Then only $M - 1$ of the M rate equations are linearly independent.

10

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Steady-State

$$\begin{aligned}\hat{W}_{11}N_{1,ss} + \hat{W}_{21}N_{2,ss} + \dots + \hat{W}_{M1}N_{M,ss} &= 0 \\ \hat{W}_{12}N_{1,ss} + \hat{W}_{22}N_{2,ss} + \dots + \hat{W}_{M2}N_{M,ss} &= 0 \\ \dots + \dots + \dots + \dots &= 0 \\ N_{1,ss} + N_{2,ss} + \dots + N_{M,ss} &= N \\ \hat{W}_{ij} &= W_{ij} + w_{ij}\end{aligned}$$

11

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Optical Frequency

Upward relaxation rates can be ignored.

$$w_{ij} \approx \gamma_{ij}$$

Rate equations \rightarrow

$$\frac{dN_i}{dt} = -\sum_{k<i} \gamma_{ik} N_i + \sum_{k>i} \gamma_{ki} N_k = -\gamma_i N_i = -\frac{N_i}{\tau_i}$$

The total decay rate \rightarrow

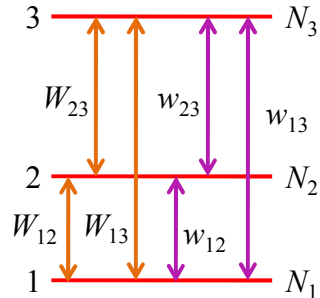
$$\gamma_i = \frac{1}{\tau_i} = \sum_{k<i} \gamma_{ik}$$

12

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3-Level System

Assume that applied signals present on all three transitions.



$$\frac{dN_1}{dt} = -(W_{12} + W_{13} + w_{12} + w_{13})N_1 + (W_{21} + w_{21})N_2 + (W_{31} + w_{31})N_3$$

$$\frac{dN_2}{dt} = -(W_{21} + W_{23} + w_{21} + w_{23})N_2 + (W_{12} + w_{12})N_1 + (W_{32} + w_{32})N_3$$

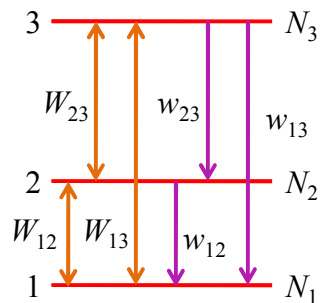
$$\frac{dN_3}{dt} = -(W_{31} + W_{32} + w_{31} + w_{32})N_3 + (W_{23} + w_{23})N_2 + (W_{13} + w_{13})N_1$$

13

13

3-Level System

Optical Frequency Approximation:



$$\frac{dN_1}{dt} = -(W_{12} + W_{13})N_1 + (W_{21} + w_{21})N_2 + (W_{31} + w_{31})N_3$$

$$\frac{dN_2}{dt} = -(W_{21} + W_{23} + w_{21})N_2 + W_{12}N_1 + (W_{32} + w_{32})N_3$$

$$\frac{dN_3}{dt} = -(W_{31} + W_{32} + w_{31} + w_{32})N_3 + W_{23}N_2 + W_{13}N_1$$

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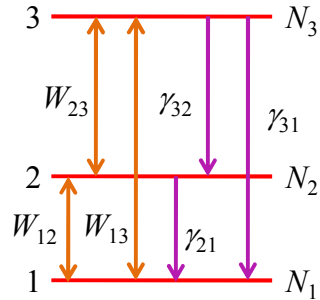
14

3-Level System

Optical Frequency Approximation:

$$w_{ij} \approx \gamma_{ij}$$

$$\gamma_i = \frac{1}{\tau_i} = \sum_{k < i} \gamma_{ik}$$



$$\frac{dN_1}{dt} = -(W_{12} + W_{13})N_1 + (W_{21} + \gamma_{21})N_2 + (W_{31} + \gamma_{31})N_3$$

$$\frac{dN_2}{dt} = -(W_{21} + W_{23} + \gamma_{21})N_2 + W_{12}N_1 + (W_{32} + \gamma_{32})N_3$$

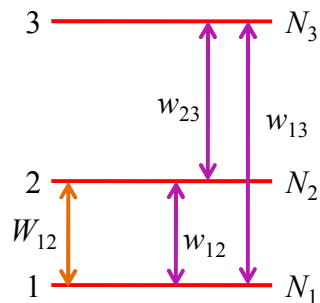
$$\frac{dN_3}{dt} = -(W_{31} + W_{32} + \gamma_{31})N_3 + W_{23}N_2 + W_{13}N_1$$

15

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Example

- Assume that applied signal is between levels 1 and 2.
- Determine steady-state population densities.



$$\frac{dN_1}{dt} = -(W_{12} + w_{12} + w_{13})N_1 + (W_{21} + w_{21})N_2 + w_{31}N_3$$

$$\frac{dN_2}{dt} = -(W_{21} + w_{21} + w_{23})N_2 + (W_{12} + w_{12})N_1 + w_{32}N_3$$

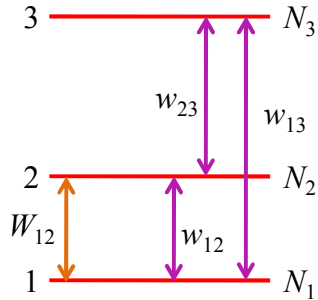
$$\frac{dN_3}{dt} = -(w_{31} + w_{32})N_3 + w_{23}N_2 + w_{13}N_1$$

16

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Example

- **Steady-state**



$$\frac{dN_1}{dt} = 0 \Rightarrow -(W_{12} + w_{12} + w_{13})N_{1,ss} + (W_{21} + w_{21})N_{2,ss} + w_{31}N_{3,ss} = 0$$

$$\frac{dN_2}{dt} = 0 \Rightarrow (W_{12} + w_{12})N_{1,ss} - (W_{21} + w_{21} + w_{23})N_{2,ss} + w_{32}N_{3,ss} = 0$$

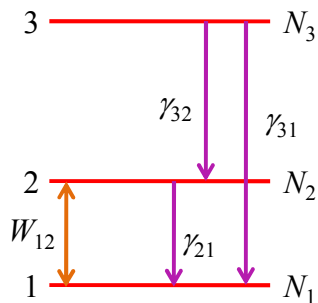
$$\frac{dN_3}{dt} = 0 \Rightarrow w_{13}N_{1,ss} + w_{23}N_{2,ss} - (w_{31} + w_{32})N_{3,ss} = 0$$

17

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Example

- **Optical frequency approximation**



$$-W_{12}N_{1,ss} + (W_{21} + \gamma_{21})N_{2,ss} + \gamma_{31}N_{3,ss} = 0$$

$$W_{12}N_{1,ss} - (W_{21} + \gamma_{21})N_{2,ss} + \gamma_{32}N_{3,ss} = 0$$

$$-\gamma_{13}N_{3,ss} = 0$$

18

18

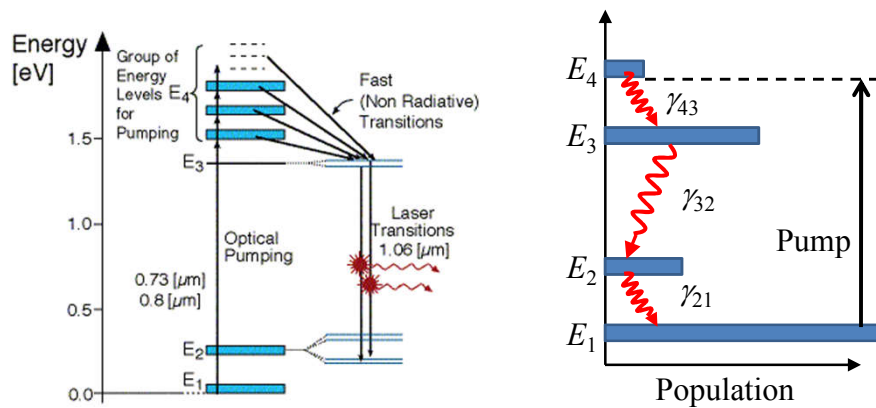
FOUR-LEVEL SYSTEM

19

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Nd:YAG Lasers

- Energy diagram of Nd:YAG laser system

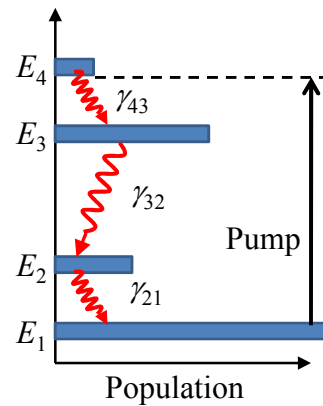


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Four-Level System

- **Level 4:** Combination of all the levels lying above the upper laser level.
- **Level 3:** Upper laser level, long-lived level
- **Level 2:** Lower laser level, short-lived level
- **Level 1:** Ground level
- **Other levels:** Ignore
- **Example:** Nd:YAG lasers

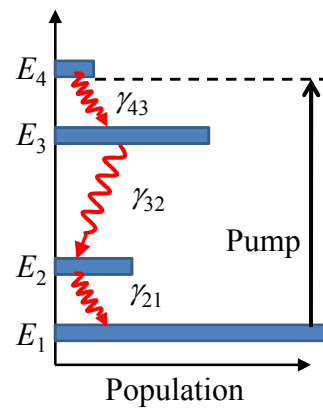


21

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Transition Rates

- γ_{43} : Fast
- γ_{32} : Slow
- γ_{21} : Fast



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22

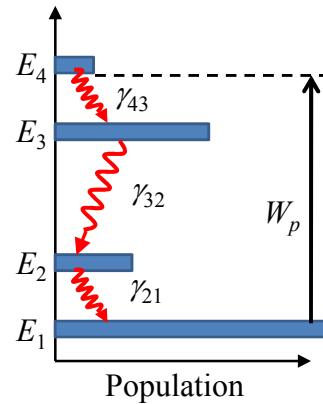
Pumping

Consider optical frequency approximation.

$$W_{14} = W_{41} = W_p$$

$$\begin{aligned} \frac{dN_4}{dt} &= W_p (N_1 - N_4) - (\gamma_{43} + \gamma_{42} + \gamma_{41}) N_4 \\ &= W_p (N_1 - N_4) - \frac{N_4}{\tau_4} \end{aligned}$$

$$\frac{1}{\tau_4} = \gamma_4 = \gamma_{43} + \gamma_{42} + \gamma_{41}$$



23

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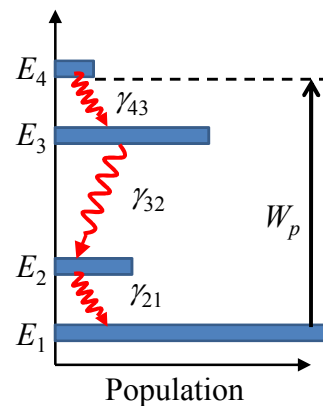
Steady-State

$$\frac{dN_4}{dt} = 0 \Rightarrow W_p (N_1 - N_4) - \frac{N_4}{\tau_4} = 0$$

$$W_p N_1 - \left(W_p + \frac{1}{\tau_4} \right) N_4 = 0$$

$$\begin{aligned} N_4 &= \frac{W_p \tau_4}{1 + W_p \tau_4} N_1 \\ &\approx W_p \tau_4 N_1 \quad \text{if } W_p \tau_4 \ll 1 \end{aligned}$$

- Usually $W_p \tau_4 \ll 1$, so $N_4 \ll N_1$.
- $1 \rightarrow 3$ transition is negligible.



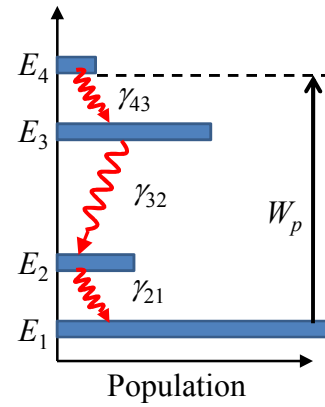
24

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Lasing Levels

$$\begin{aligned} \frac{dN_3}{dt} &= \gamma_{43}N_4 - (\gamma_{32} + \gamma_{31})N_3 \\ &= \frac{N_4}{\tau_{43}} - \frac{N_3}{\tau_3} \end{aligned}$$

$$\begin{aligned} \frac{dN_2}{dt} &= \gamma_{42}N_4 + \gamma_{32}N_3 - \gamma_{21}N_2 \\ &= \frac{N_4}{\tau_{42}} + \frac{N_3}{\tau_{32}} - \frac{N_2}{\tau_{21}} \end{aligned}$$



25

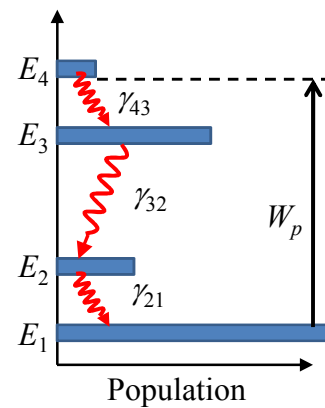
25

Steady-State

$$\frac{dN_3}{dt} = 0 \Rightarrow \frac{N_4}{\tau_{43}} - \frac{N_3}{\tau_3} = 0$$

$$N_3 = \frac{\tau_3}{\tau_{43}} N_4$$

In a good laser $\tau_3 \gg \tau_{43}$ and hence $N_3 \gg N_4$.



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Steady-State

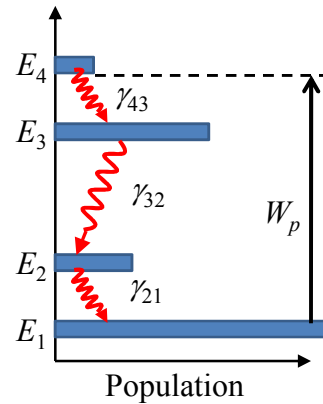
$$\frac{dN_2}{dt} = 0 \Rightarrow \frac{N_4}{\tau_{42}} + \frac{N_3}{\tau_{32}} - \frac{N_2}{\tau_{21}} = 0$$

$$\frac{\tau_{43}N_3}{\tau_3\tau_{42}} + \frac{N_3}{\tau_{32}} - \frac{N_2}{\tau_{21}} = 0$$

$$N_2 = \left(\frac{\tau_{21}}{\tau_{32}} + \frac{\tau_{43}\tau_{21}}{\tau_3\tau_{42}} \right) N_3 = \beta N_3$$

In a good laser $\tau_{42} \approx \infty$.

$$\beta = \frac{N_2}{N_3} \approx \frac{\tau_{21}}{\tau_{32}} \ll 1$$



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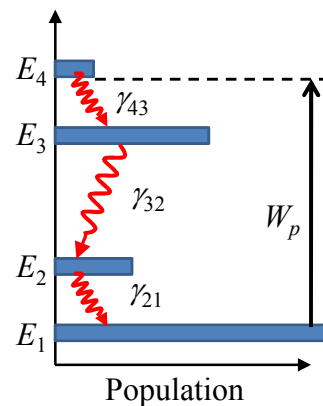
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Quantum Efficiency

Number of fluorescent photons spontaneously emitted on the laser transition divided by the number of pump photons absorbed.

$$\eta = \frac{\gamma_{43}}{\gamma_4} \times \frac{\gamma_{\text{rad}}}{\gamma_3} = \frac{\tau_4}{\tau_{43}} \times \frac{\tau_3}{\tau_{\text{rad}}}$$

$$\gamma_{\text{rad}} = \gamma_{\text{rad}}(3 \rightarrow 2)$$



28

28

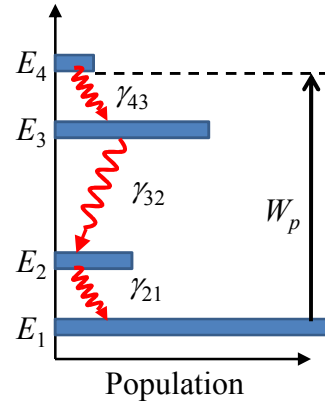
Population Inversion

$$N_1 + N_2 + N_3 + N_4 = N$$

$$\frac{N_3 - N_2}{N} = \frac{(1 - \beta)\eta W_p \tau_{\text{rad}}}{1 + \left[1 + \beta + 2 \frac{\tau_{43}}{\tau_{\text{rad}}} \right] \eta W_p \tau_{\text{rad}}}$$

In a good laser, $\tau_{43} \ll \tau_{\text{rad}}$.

$$\frac{N_3 - N_2}{N} = \frac{(1 - \beta)\eta W_p \tau_{\text{rad}}}{1 + (1 + \beta)\eta W_p \tau_{\text{rad}}}$$



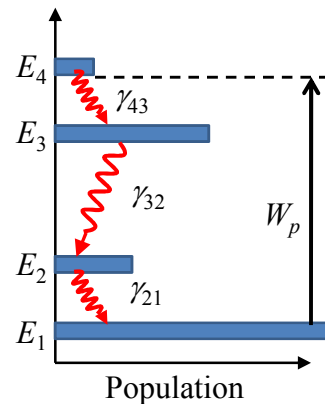
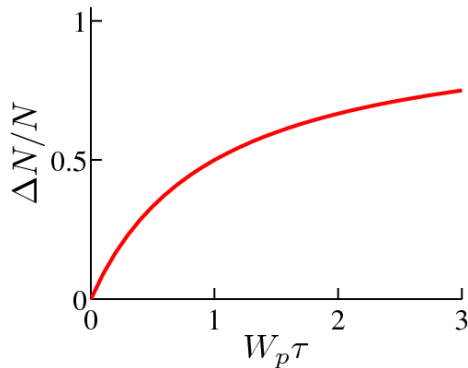
29

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Population Inversion

If $\beta \approx \frac{\tau_{21}}{\tau_{32}} \rightarrow 0$

$$\frac{N_3 - N_2}{N} = \frac{W_p \tau_{\text{rad}}}{1 + W_p \tau_{\text{rad}}}$$



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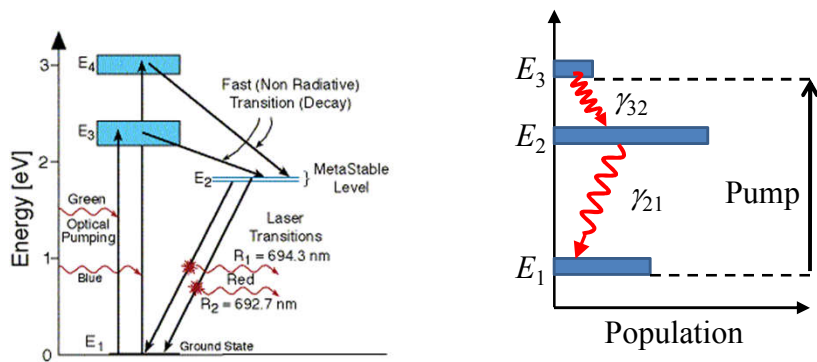
THREE-LEVEL SYSTEM

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Ruby Laser

- Energy diagram of Ruby laser:

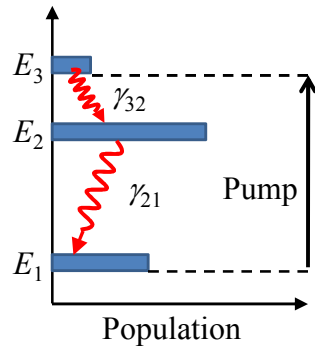


32

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Three-Level System

- **Level 3:** Combination of all the levels lying above the upper laser level.
- **Level 2:** Upper laser level, long-lived level
- **Level 1:** Lower laser level, also the ground level
- **Other levels:** Ignore
- **Example:** Ruby lasers
- Usually is not as efficient as four-level system

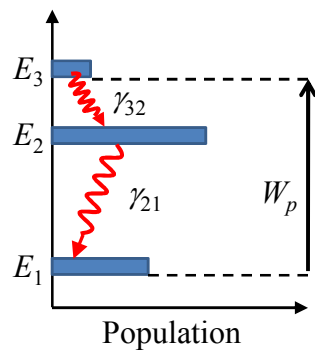


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Transition Rates

- γ_{32} : Fast
- γ_{21} : Slow



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Rate Equations

Consider optical frequency approximation.

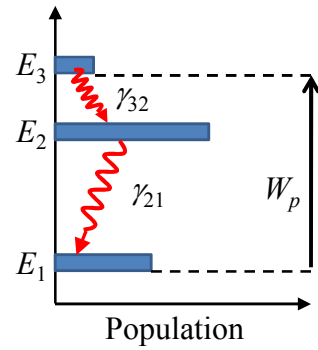
$$W_{13} = W_{31} = W_p$$

$$\frac{dN_3}{dt} = W_p (N_1 - N_3) - \frac{N_3}{\tau_3}$$

$$\frac{dN_2}{dt} = \frac{N_3}{\tau_{32}} - \frac{N_2}{\tau_{21}}$$

Conservation of atoms:

$$N_1 + N_2 + N_3 = N$$



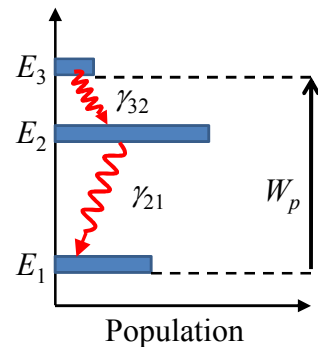
35

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Quantum Efficiency

$$\eta = \frac{\gamma_{32}}{\gamma_3} \times \frac{\gamma_{\text{rad}}}{\gamma_{21}} = \frac{\tau_3}{\tau_{32}} \times \frac{\tau_{21}}{\tau_{\text{rad}}}$$

$$\gamma_{\text{rad}} = \gamma_{\text{rad}}(2 \rightarrow 1)$$



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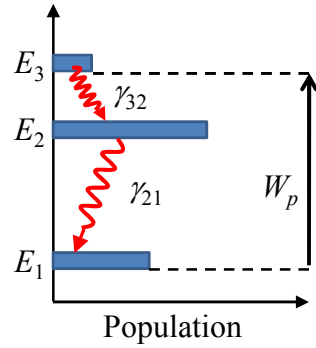
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Population Inversion

$$\frac{N_2 - N_1}{N} = \frac{(1 - \beta)\eta W_p \tau_{\text{rad}} - 1}{(1 + 2\beta)\eta W_p \tau_{\text{rad}} + 1}$$

$$\beta = \frac{N_3}{N_2} = \frac{\tau_{32}}{\tau_{21}}$$

Inversion can be obtained only if $\beta < 1$.



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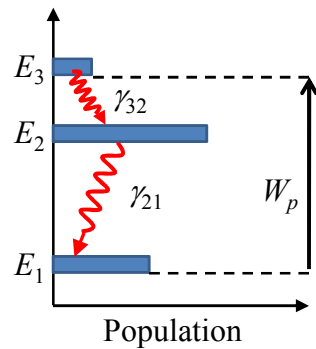
Population Inversion

Pumping threshold

$$W_p \tau_{\text{rad}} \geq \frac{1}{\eta(1 - \beta)}$$

if $\eta \rightarrow 1$ and $\beta \rightarrow 0$

$$\frac{N_2 - N_1}{N} \approx \frac{W_p \tau_{\text{rad}} - 1}{W_p \tau_{\text{rad}} + 1}$$

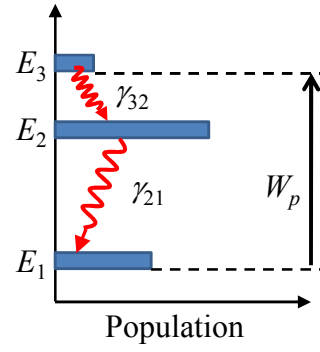
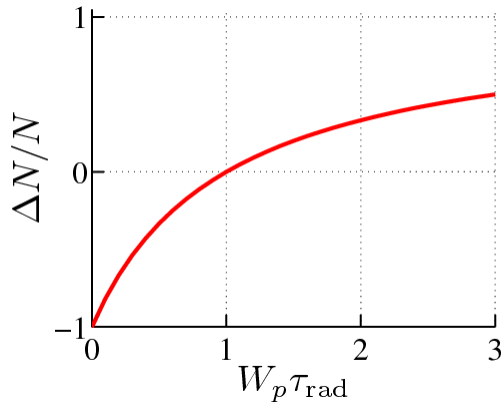


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Population Inversion

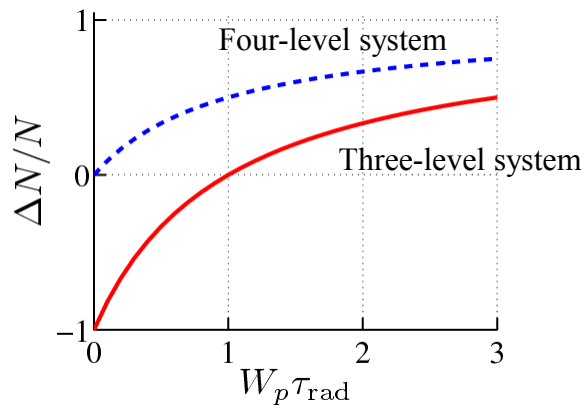
$$\frac{N_2 - N_1}{N} \approx \frac{W_p \tau_{\text{rad}} - 1}{W_p \tau_{\text{rad}} + 1}$$



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Comparison



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Project

- Proposal submission: **29 July 2019**.
- Send your tentative title, and your and your partner's name and student numbers by **27 July 2019**.

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